

1 **EFFLUENT PURIFICATION USING UV DEVICES AND METHODS**

2 Cross-reference to related applications

3 This non-provisional utility patent application claims the benefit of one or more
4 prior filed co-pending non-provisional applications; a reference to each such prior
5 application is identified as the relationship of the applications and application number
6 (series code/serial number): the present application is a Continuation-In-Part of
7 application serial no. 10/016,217 filed 11/2/2001, which is incorporated herein by
8 reference in its entirety.

9 Background of the Invention

10 (1) Field of the Invention

11 The present invention relates generally to a system and method for ultraviolet
12 disinfection and, more particularly, to a system and method for ultraviolet disinfection of
13 air and other gases.

14 (2) Description of the Prior Art

15 It is well known in the art to use ultraviolet light (UV) for the disinfection
16 treatment of air. Ultraviolet light, at the germicidal wavelength of 253.7 nanometers,
17 alters the genetic (DNA) material in cells so that bacteria, viruses, molds, algae and other
18 microorganisms can no longer reproduce. The microorganisms are considered dead, and
19 the risk of disease from them is eliminated. As the air flows past the UV lamps in UV
20 disinfection systems, the microorganisms are exposed to a lethal dose of UV energy. UV
21 dose is measured as the product of UV light intensity times the exposure time within the
22 UV lamp array. Microbiologists have determined the effective dose of UV energy to be
23 approximately about 34,000 microwatt- seconds/cm² needed to destroy pathogens as well

1 as indicator organisms found in wastewater. Typical prior art disinfection systems and
2 devices emit UV light at approximately 254 nm, which penetrates the outer cell
3 membrane of microorganisms, passes through the cell body, reaches the DNA and alters
4 the genetic material of the microorganism, destroying it without chemicals by rendering it
5 unable to reproduce.

6 Ultraviolet light is classified into three wavelength ranges: UV-C, from about 200
7 nanometers (nm) to about 280 nm; UV-B, from about 280 nm to about 315 nm; and UV-
8 A, from about 315 nm to about 400 nm. Generally, UV light, and in particular, UV-C
9 light is "germicidal," i.e., it deactivates the DNA of bacteria, viruses and other pathogens
10 and thus destroys their ability to multiply and cause disease, effectively resulting in
11 sterilization of the microorganisms. Specifically, UV "C" light causes damage to the
12 nucleic acid of microorganisms by forming covalent bonds between certain adjacent
13 bases in the DNA. The formation of these bonds prevents the DNA from being read
14 correctly, and the organism is neither able to produce molecules essential for life process,
15 nor is it able to reproduce. In fact, when an organism is unable to produce these essential
16 molecules or is unable to replicate, it dies. UV light with a wavelength of approximately
17 between about 250 to about 260 nm provides the highest germicidal effectiveness. While
18 susceptibility to UV light varies, exposure to UV energy for about 20 to about 34
19 milliwatt-seconds/cm² is adequate to deactivate approximately 99 percent of the
20 pathogens.

21 Additionally, UV light can catalyze a variety of other chemical reactions, and the
22 use of UV light with any one or combination of the plethora of available chemical
23 catalyst generates numerous possible catalytic combinations that can be used to degrade

1 organic particulate matter. A class of these photocatalyst, termed UV-activated dielectric
2 semiconductors, includes Titanium Oxide; TiO_2 (photo activation wavelength; not more
3 than 388 nm), Tungsten Oxide; WO_2 (photo activation wavelength; not more than 388
4 nm), Zinc Oxide; ZnO (photo activation wavelength; not more than 388 nm), Zinc
5 Sulfide; ZnS (photo activation wavelength; not more than 344 nm) and Tin Oxide; SnO_2
6 (photo activation wavelength; not more than 326 nm). In addition to these catalysts,
7 other catalysts, such as PtTiO_2 , are known.

8 In prior art air purification systems, particles, including, for example, household
9 and atmospheric dust, lint, animal dander, food particles, tobacco smoke, aerosols, pollen,
10 plant spores, and the like are removed from the air stream by filtration, trapping,
11 electrostatic precipitation, and other means of arrest. Chemical compounds are removed
12 by activated charcoal filtration. Additionally, particle and chemical compounds can be
13 degraded by UVV irradiation, or by oxidation by photocatalysts such as TiO_2 .

14 While such conventional air cleaners are quite effective in arresting dust and other
15 particles, if the filters or plates are not cleaned regularly to remove the deposited
16 particles, there may be potential for microbial growth on the particles on the filters or
17 collector plates. If microbial growth is present and is not removed through regular
18 thorough cleaning, there is the possibility that bioaerosols such as fungal spores, bacteria
19 and other allergens may be re-entrained into the air stream and circulated back into the
20 occupied enclosure.

21 Several prior art inventions have used UV irradiation of the particle-arresting
22 apparatus or the gas stream itself to sterilize resident microorganisms. These inventions,
23 as described in US patents 5,997,619, 12/07/99; Knuth, et al.; 5,925,320; 07/20/99; Jones;

1 5,833,740; 11/10/98; Brais; 6,053,968; 04/25/00; Miller. Although these prior art may
2 have been adequate in arresting particulate matter and chemical compounds and
3 inactivating microorganisms, they could not degrade them and thus needed frequent
4 periodic maintenance to clean or replace the arresting devices.

5 It has now been found possible to degrade the particulate matter and other
6 compounds by incorporating TiO₂ or other photocatalyst in the arresting device and
7 irradiating the TiO₂ with UV light. The TiO₂ catalyzes the breakdown of chemical
8 molecules, both in arrested particles and in the vicinity of the arresting device. For
9 example, US Patents 5,933,702; 5,919,422; and 5,835,840 use filters or supports charged
10 or impregnated with TiO₂, and by fitting these filters into ventilation systems in which
11 they are also irradiated by a source of ultraviolet rays when they are not themselves
12 exposed to a natural source of UV. Additionally, filters comprised of TiO₂ may also be
13 treated with undecylenic derivatives to aid in the decomposition of compounds. For
14 example, Caupin et al. (US Patent 6,071,472) teach that the functioning of filters
15 comprised of TiO₂ and undecylenic derivatives proves to be surprisingly effective from
16 the point of view of air quality and, in parallel, a very substantial increase in the lifetime
17 of the filter is observed, the gradual soiling of which appears to be due essentially only to
18 the retention of inorganic particles. However, this and the other prior art require a UV
19 light source devoted to the device, and in no way teach that the UV light may be supplied
20 by a fiber optic transmission line or similar using optical components to focus and control
21 the light input.

22 US Patent 6,051,194 generally relates to a fixed bed photocatalytic reactor system
23 that employs optical fibers as a means of remote light transmission to and support for a

1 photocatalyst coating. The reactor enables batch treatment or continuous flow
2 applications, e.g., for the destruction of gas or aqueous phase waste effluents
3 contaminated with hydrocarbons or heavy metals. The reactor utilizes one or more
4 optical fibers or rods stiffened or under tension to form non-flexible rod-like components
5 that are positionally secured with respect to a reactor vessel and are spaced apart with
6 respect to each other at a miniscule distance, preferentially 1.5 mm. It is critical to have
7 stiffened, tensioned, or rod-like fibers without flexibility in order to establish and
8 maintain the spaced-apart configuration. The fibers have a non-catalytic portion and a
9 catalytic portion, wherein the catalytic portion comprises a TiO_2 photocatalyst
10 coating on the exposed fibers. Photocatalytic reactions are carried out by using the
11 noncatalytic portion of the fibers to transmit light, e.g., UV, from a light source to the
12 catalytic portion. Because of the efficiency of the fibers in light delivery to the catalytic
13 portion of the coating, the light source may be located a relatively long distance from the
14 catalytic portion of the fibers.

15 Such a reactor is not particularly well-suited for microfiltration of gas streams, as
16 a filter in this fashion would need to be woven from a single or few fibers. If a multitude
17 of transmission lines were used, these would have to be connected to the light source.
18 Although such a configuration is technically possible, the resulting filter would be
19 relatively expensive and also cumbersome to install and remove. Additionally, the
20 reactor described by Peill et al. requires space between individual fibers to minimize the
21 interfiber contact, as this contact can promote TiO_2 coating delamination. Therefore,
22 spacers are employed to maintain the fibers in a spaced-apart configuration. This spaced-
23 apart configuration prevents an adequate physical filtration of the gas stream.

1 Additionally, Peill et al. do not teach the use of optical components to focus and control
2 the light input. As such, this prior art reactor teaches away from the configuration
3 according to the present invention.

4 Thus, there remains a need for a low-maintenance, inexpensive UV purification
5 system of air and other gases that purifies the gas stream, deactivates microorganisms in
6 the proximity of the device, is self-cleaning and easily handled, and utilizes a remote UV
7 light source.

8 Summary of the Invention

9 The present invention is directed to a UV purification system and method for
10 treating gas streams.

11 One object of the present invention is to provide a UV disinfection system for
12 treating a gas stream configured and arranged to function effectively with at least one UV
13 light source or lamp.

14 Another object of the present invention is to provide a UV-ready gas stream
15 purifier that is designed to accept a UV light source input for the purpose of sterilization
16 of microorganisms arrested by the purifier, albeit temporarily.

17 Another object of the present invention includes presentation of the UV light
18 source detached from and remotely connectable with the gas purifier via fiber optic, UV
19 transmission lines.

20 Another object of the present invention is to provide a UV-ready gas stream
21 purifier that is designed to accept a UV light source input for the purpose of effecting
22 degradation of arrested particles and compounds through the UV activation of a
23 photocatalyst incorporated into the purifier.

1 Still another object of the present invention is to provide a method for providing
2 ultraviolet disinfection (UV) within effluent streams, especially within channel housing,
3 including selective activation and deactivation of at least one UV light-ready gas stream
4 purifier having at least one portal in the particle arrestor for receiving UV light input
5 from at least one light source, which is removably connected to the at least one UV light-
6 ready gas stream purifier via a connector at the portal, and provides a focused,
7 controllable UV light output that has at least one UV dose zone for providing effective
8 sterilization of microorganisms and disinfection within an interior of the gas stream
9 purifier.

10 Accordingly, one aspect of the present invention is to provide a UV disinfection
11 system for treating a effluent stream configured and arranged to function effectively with
12 at least one UV light source or lamp projecting into a channel housing surrounding the
13 effluent stream.

14 Another aspect of the present invention is to provide a UV-ready gas stream
15 purifier that is designed to accept a UV light source input for the purpose of sterilization
16 of microorganisms arrested by the particle arrestor, albeit temporarily.

17 Another aspect of the present invention is to provide presentation of the UV light
18 source detached from and remotely connectable with the effluent stream purifier via fiber
19 optic, UV transmission lines and including the use of optical components.

20 Still another aspect of the present invention is to provide a UV-ready effluent
21 stream purifier that is designed to accept a UV light source input for the purpose of
22 effecting degradation of arrested particles and compounds through the UV activation of a
23 photocatalyst incorporated into the effluent stream purifier.

1 Still another object of the present invention is to provide a method for providing
2 ultraviolet disinfection (UV) within effluent streams within channel housing including
3 selective activation and deactivation of at least one UV light-ready effluent stream
4 purifier having at least one portal in the gas stream purifier for receiving UV light input
5 from at least one light source, which is removably connected to the at least one UV light-
6 ready gas stream purifier via a connector at the portal, and provides a focused,
7 controllable UV light output that has at least one UV dose zone for providing effective
8 sterilization of microorganisms and disinfection within an interior of the gas stream
9 purifier.

10 These and other aspects of the present invention will become apparent to those
11 skilled in the art after a reading of the following description of the preferred embodiment
12 according to the present invention when considered with the drawings.

13 Brief Description of the Drawings

14 Figure 1 is a schematic diagram of the complete UV air disinfection system.

15 Figure 2 is a schematic diagram of a blind duct configuration of the present invention.

16 Detailed Description of the Preferred Embodiments

17 In the following description, like reference characters designate like or
18 corresponding parts throughout the several views. Also in the following description, it is
19 to be understood that such terms as “forward,” “rearward,” “front,” “back,” “right,”
20 “left,” “upwardly,” “downwardly,” and the like are words of convenience and are not to
21 be construed as limiting terms.

22 Referring now to the drawings in general, the illustrations are for the purpose of
23 describing a preferred embodiment of the invention and are not intended to limit the

1 invention thereto. Figure 1 shows a schematic diagram of a UV air disinfection system,
2 generally described as 10. In the preferred embodiment, a power supply 12 powers a UV
3 light source 14. The UV light source is composed of a UV lamp 15, source optical
4 components 16, and a housing 17. UV light generated by the UV lamp 15 contained
5 within the housing 17 is focused and controlled by the means of the source optical
6 components 16 into at least one UV transmission line 18 that connects to the gas stream
7 purifier 20 at a portal 22, which may alternatively be at least one portal if more than one
8 light input is desired, thus transmitting UV light to the gas. The gas stream purifier portal
9 is equipped with optical components, or portal optics, 32 that further control the UV light
10 at the gas stream purifier 20 in order to provide additional focus and/or control of the UV
11 light for the disinfection of the gas stream (not shown). The gas stream purifier is
12 composed of a dose zone 34 and a housing 36. The dose zone can include a dose
13 delivery device. The dose zone and the housing may be equipped with UV reflective
14 optical components, or interior optics 26, and may also be composed of a UV reflective
15 interior surface and/or coating 28. For longevity as well as UV reflectivity, the interior
16 surfaces may be made of a UV reflective material selected from the group consisting of
17 UV reflective metals and alloys, e.g., stainless steel, aluminum, and the like.
18 Alternatively or additionally, other non-metallic UV reflective materials may be used.
19 Additionally, the contribution of the reflectance of internal surfaces to the efficacy of the
20 system can be capitalized upon by incorporating UV reflective materials and reflection
21 enhancing two- and three-dimensional design into the gas purifier. Moreover, additional
22 surfaces to enhance reflectance may be added to the purifier zone. Additionally, the
23 components, including, but not limited to, particle arresting devices, fiber optic

1 transmission lines, and reflectant surfaces, comprising the gas stream purifier may be
2 manufactured such that they include a photocatalyst that degrades compounds contacting
3 the surface(s) of the gas purifier zone (GPZ). Thus, in one embodiment of the present
4 invention, a particle arrestor is included in the GPZ as the dose delivery device. More
5 particularly, the particle arrestor and other components form an integrated 2- and 3-
6 dimensional design that incorporates UV-reflectant materials, UV-reflectant design,
7 photocatalysts, and additional photocatalyst and reflectant surfaces that advantageously
8 enhance the efficacy of the system.

9 While generally regarding the UV light source and configuration according to the
10 present invention, the preferred embodiment includes a UV light source that is remotely
11 connectable to the gas stream purifier via at least one fiber optic transmission line.
12 Additionally, the preferred embodiment of the present invention includes at least one
13 optical component positioned between the UV light source and the UV light source
14 system output point. Advantageously, the use of optical components enables the system
15 to maximize the intensity, focus, and control of the UV light rays at the output for any
16 given UV light source or lamp. Also, optical components, including but not limited to
17 reflectors, shutters, lenses, splitters, mirrors, rigid and flexible light guides, homogenizer
18 or mixing rods, manifolds and other couplers, filters, color wheels, and the like, can be
19 utilized in combination to achieve the desired control and output, as set forth in U.S.
20 patent numbers 6,027,237; 5,917,986; 5,911,020; 5,892,867; 5,862,277; 5,857,041;
21 5,832,151; 5,790,725; 5,790,723; 5,751,870; 5,708,737; 5,706,376; 5,682,448; 5,661,828;
22 5,559,911; D417,920, which are commonly owned by the assignee of the present
23 invention, and which are incorporated herein by reference in their entirety. Additionally,

1 optical component such as gratings, dichroic filters, focalizers, gradient lenses, gradient
2 reflectors, off-axis lenses, and off-axis reflectors may be used. All UV transmissive
3 optical components included in the present invention are made of UV-transmissive
4 material and all UV-reflective optical components included in the present invention are
5 made of UV-reflective material. The fiber optic lines may include quartz fibers, side-
6 emitting fibers, glass fibers, acrylic fibers, liquid core fibers, hollow-core fibers, core
7 sheath fibers, dielectric coaxial fibers, or a combination of fibers.

8 With regard to lenses, several embodiments are considered to be within the scope
9 of the present invention. Imaging lenses, such as a parabolic lens, and non-imaging
10 lenses, such as gradient lenses, may be used to focus and control light output. More
11 particularly, a gradient lens collects light through a collecting opening and focuses it to
12 an area smaller than the area of the collecting opening. This concentration is
13 accomplished by changing the index of refraction of the lens along the axis of light
14 transmission in a continuous or semi-continuous fashion, such that the light is “funneled”
15 to the focus area by refraction. An example of gradient lens technology is the Gradium®
16 Lens manufactured by Solaria Corporation. Alternatively, a toroidal reflector, as
17 described in United States Patent 5,836,667, is used. In this embodiment, a UV radiation
18 source, such as an arc lamp, is located at a point displaced from the optical axis of a
19 concave toroidal reflecting surface. The concave primary reflector focuses the radiation
20 from the source at an off-axis image point that is displaced from the optical axis. The use
21 of a toroidal reflecting surface enhances the collection efficiency into a small target, such
22 as an optical fiber, relative to a spherical reflecting surface by substantially reducing

1 aberrations caused by the off-axis geometry. A second concave reflector is placed
2 opposite to the first reflector to enhance further the total flux collected by a small target.

3 Additionally, more than one reflector may be used with a lamp. For example,
4 dual reflectors or three or more reflectors, as taught in US Patents 5,706,376 and
5 5,862,277, may be incorporated into the preferred embodiment.

6 Notably, any number of lamps including low pressure, medium pressure, high
7 pressure, and ultra high-pressure lamps, which are made of various materials, e.g., most
8 commonly mercury (Hg) can be used with the system configuration according to the
9 present invention, depending upon the gas or influent characteristics and flow rates
10 through the system. Furthermore, while high and ultra high pressure lamps have not been
11 used commercially to date by any prior art system, predominantly because of the low
12 energy efficiency associated with them and the lack of capacity for prior art design and
13 configuration formulas to include high pressure UV lamps, the present invention is
14 advantageously suited to accommodate medium to high to ultra high pressure lamps, all
15 of which can be metal, halogen, and a combination metal halide. Additionally, spectral
16 calibration lamps, electrodeless lamps, and the like can be used.

17 In particular, by way of example and not of limitation, one preferred embodiment
18 according to the present invention employs a light pump or illuminator housing a pencil-
19 type spectral calibration lamp. With an illuminator or light pump, the number of lamps
20 necessary to treat a given number of gas stream purifiers can be reduced. Also, the lamps
21 are not susceptible to fouling, since they are not exposed to the gas stream to be purified.
22 Furthermore, the maintenance and servicing of the purifier is greatly simplified. The
23 pencil-type spectral calibration lamps are compact and offer narrow, intense emissions,

1 an average intensity that is constant and reproducible, and a longer life relative to other
2 high wattage lamps. Hg (Ar) lamps of this type are generally insensitive to temperature
3 and require only a two-minute warm-up for the mercury vapor to dominate the discharge,
4 then 30 minutes for complete stabilization. A Hg(Ar) UV lamp, which is presently
5 commercially available and supplied by ORIEL Instruments, is used in the preferred
6 embodiment according to the present invention. The ORIEL Hg(Ar) lamp, model 6035,
7 emits UV radiation at 254 nm. When operated at 15 mA using a DC power supply, this
8 lamp emits 74 microwatt/cm² of 254 nm radiation at 25 cm from the source.

9 Another preferred embodiment according to the present invention employs
10 medium to high-pressure UV lamps, more preferably high-pressure UV lamps. These
11 lamps may include mercury and/or mercury halide lamps, such as Hg(Ar), Hg(Xe), and
12 Hg(Ne).

13 The light generated by these sources is focused via optics and fibers that are
14 joined by UV-transmissive optical couplers. By way of example and not of limitation,
15 these couplers can be quartz, liquid-filled, hollow, or dielectric coaxial couplers.

16 The present invention advantageously includes all of the above features, in
17 particular because the UV lamps are separated from the gas purifier and include a light
18 delivery system that incorporates optical components. Without the use of optical
19 components in combination with the UV light source, the intensity of the light could not
20 be effectively focused, directed, and controlled to provide an efficacious disinfection
21 because the UV dosage entering the gas purifier would not be great enough to sterilize
22 the microorganisms. By using optical components incorporated into the gas purifier
23 itself, the gas purifier need be coupled to only one fiber optic transmission line for the

1 supply of UV light. Alternately, the fiber optic transmission line and gas purifier may be
2 simply juxtaposed to allow irradiation of the gas purifier by the light exiting the
3 transmission line or other optics.

4 The illuminator arrangement beneficially extends the lamp life thereby providing
5 a longer replacement time or lamp life cycle. Since turning the lamp off and on degrades
6 the lamp life, the system can be constructed and configured such that other appliances
7 and areas are sterilized intermittently with the gas stream purifier by simply routing the
8 UV light to the device or area to be irradiated. Thus, the lamp need not be turned on and
9 off frequently. However, a timer or other means of system activation can be incorporated
10 into the gas purifier to control exposure.

11 Advantageously, the gas purification zone has several UV dose regions (not
12 shown) established within it; these UV dose regions are variable, i.e. the greater the
13 distance from the light source introduction at the output area, the lesser the UV light
14 intensity at a particular region, area, or volume. The first region is the proximal light
15 source system exit UV dose region, which occurs at the light source system and gas
16 interface. The next region is the gas interior UV dose region, which occurs in the interior
17 of the gas purifier. This region may be a gas region or a vapor region, i.e., if humidity is
18 introduced, then a vapor region may exist. The last region is the UV surface dose region,
19 which occurs at the interior surface(s) of the gas purifier.

20 The interior surfaces of the gas purifier may possess photocatalytic properties
21 such that certain reactions are catalyzed in the vicinity of the interior surfaces. These
22 photocatalysts may include the UV-activated, dielectric semiconductors, such as
23 Titanium Oxide; TiO₂ (photo activation wavelength; not more than 388 nm), Tungsten

1 Oxide; WO₂ (photo activation wavelength; not more than 388 nm), Zinc Oxide; ZnO
2 (photo activation wavelength; not more than 388 nm), Zinc Sulfide; ZnS (photo
3 activation wavelength; not more than 344 nm) and Tin Oxide; SnO₂ (photo activation
4 wavelength; not more than 326 nm), and other compounds known to be photocatalytic,
5 including organic polymers, and combinations thereof.. In addition to these catalysts,
6 other catalysts, such as PtTiO₂, are known and are included as alternative catalysts
7 appropriate for use in the present invention.

8 For example, TiO₂ may be incorporated into surfaces that are made of glass,
9 acrylic, paper, or other appropriate material. When such a surface is irradiated with
10 activating light, fatty acids and other organic chemicals contacting or in close proximity
11 to the surface are chemically degraded, resulting in degradation to smaller volatile
12 products, such as carbon dioxide and water. Additionally, carbon monoxide and other
13 noxious gases are oxidized in such a system. Thus, the incorporation of TiO₂ or other
14 photocatalytic material, including but not limited to TiO₂, WO₂, ZnO, ZnS, SnO₂,
15 PtTiO₂, other compounds known to be photocatalytic, including organic polymers, and
16 combinations thereof, into the interior surface with subsequent irradiation by activating
17 wavelengths reduces the levels of several potential human toxins – organic chemicals,
18 carbon monoxide, and other smoke or combustion byproducts. Advantageously, the
19 disinfected gas purifier is completely free from microorganisms without requiring the
20 addition of chemicals or other additives that would increase the chemical residue on the
21 surface of the gas purifier.

22 Also, the maximum destruction of microbes, particulate matter, and volatile
23 chemicals depends on several variables. For instance, the UV-TiO₂ system does not

1 provide adequate microbial destruction at humidity levels lower than about 40%. On the
2 other hand, there is incomplete deactivation of organisms if the air being treated has a
3 humidity level in excess of 70%. Between about 40% to about 70% humidity –
4 preferably about 50-60%, more preferably about 50% humidity is effective within the
5 system according to the present invention for the deactivation of organisms. Also,
6 without a proper residence time of the contaminated air in the purifier zone, complete
7 disinfection is not obtained. Therefore, single or multi-function gas stream samplers and
8 other devices 42 can be advantageously incorporated in the gas stream before and/or after
9 the gas stream purifier to determine and control such parameters as humidity,
10 temperature, gas partial pressures, and the like. Gases that may be determined include,
11 but are not limited to oxygen, carbon monoxide, carbon dioxide, hydrogen sulfide, sulfur
12 dioxide, hydrogen sulfide, nitrogen oxides, mercaptans, hydrocarbons, methane, and
13 other volatile organic compounds.

14 UV killing of microbes is dependent on the UV dose, which is a function of light
15 intensity and duration of exposure. The UV dose can thus be increased by increasing the
16 intensity of the UV light or by increasing the exposure time. The exposure time can be
17 increased by decreasing the gas flow velocity in the UV dose zone, increasing the volume
18 of the gas irradiated, or arresting particles in the UV dose zone. An example of an
19 embodiment that increases exposure time by decreasing the gas flow velocity in the UV
20 dose zone is one in which the cross-sectional area of the gas ducting is increased in the
21 UV dose zone. The velocity of the gas will slow due to the increased volume of the duct,
22 and thus will increase the exposure time. An example of an embodiment that increases
23 exposure time by increasing the volume of gas irradiated is one that utilizes an array of

1 fibers along a gas duct to effect irradiation of a length of the gas duct interior, rather than
2 the irradiation of a small section of the gas duct interior. Such an embodiment can be
3 effected by using side-emitting fibers positioned parallel to the gas flow, regular end-
4 emitting fibers distanced along the duct, or fibers with optics that extend the UV
5 irradiation down the length of the gas duct interior. In such embodiments, an illuminator
6 device that employs a single lamp and multiple fiber optic transmission lines can
7 significantly reduce the installment and maintenance cost of the system versus a system
8 that uses multiple lamps to achieve an extended exposure area. Finally, surface area
9 enhancers or particle arrestors may be inserted into the UV dose zone to increase the UV
10 exposure time. These particle arrestors include, but are not limited to, fiber filters, high-
11 efficiency particle-arresting (HEPA) filters, electrostatic precipitators, cyclone
12 precipitators, and the like. These surface area enhancers and/or particle arrestors can
13 include optical components and UV-reflective and photocatalytic properties, such as
14 described previously for the other components of the gas stream purifier, with the
15 resulting benefits. These particle arrestors may also be sized appropriately to fit within
16 an existing gas/HVAC system.

17 By way of example, and not of limitation, a surface area enhancer, which may
18 include a hollow tube mesh or a filter composed of glass fibers coated with TiO₂ and
19 undecylenic acid or its derivatives is interposed in the gas stream to arrest and degrade
20 particles and volatiles contained in the gas stream. The filter is irradiated with UV light
21 transmitted by the fiber optic transmission lines to effect the degradation of particles and
22 volatiles and sterilize microorganisms.

1 The fiber optic transmission lines may be separate from the particle arrestor or
2 may be incorporated into the particle arrestor by weaving, knitting, or non-weaving
3 methods. The particle arrestor may also be manufactured as a multilayered structure to
4 increase the residence time of particles in the surface dose region, thus increasing
5 exposure to UV irradiation and to the photocatalyst incorporated in the particle arrestor.
6 Additionally, the PA fibers may be of a mesh size that increases residence time.
7 Alternately, the PA fibers may be of a mesh size that reduces residence time but that
8 causes less gas pressure drop. Such a mesh size may be desirable in a recirculating type
9 gas purification system. In such a system, the gas will undergo multiple passes through
10 the GPZ. Therefore, a less efficient PA may be adequate because particles that are not
11 trapped in the first pass through the GPZ will eventually be trapped at later passes. If a
12 high gas quality is desired, multiple PAs in series may be used, rather than a single, tight
13 mesh PA. Such a system will be less likely to fail and less expensive to service than a
14 system which incorporates a single, high-efficiency PA. Additionally, such a system can
15 be readily irradiated with a fiber optics-based system that allows routing of the UV light
16 from a single UV light source to the multiple filters.

17 The filter may be manufactured from a variety of fibers, including, but not limited
18 to, acrylic, glass, quartz, paper, cellulose, cotton and/or other natural and synthetic
19 translucent and non-translucent materials. These fibers may or may not be coated with
20 photocatalysts as described in the preceding.

21 Although the particle arrestor is designed to be self-cleaning, inorganic materials
22 that do not degrade will accumulate on the filter, eventually fouling it. Therefore, the

1 filter will have to be cleaned or disposed of. In a preferred embodiment, the filter is of an
2 inexpensive design and construction such that it can be disposed of or recycled.

3 The several advantages of this system include the fact that the remote lamp does
4 not require as extensive cleaning maintenance to remove fouling as a lamp in the interior
5 of the gas purifier may. Additionally, this system allows for reduced maintenance of the
6 purifier due to the self-cleaning aspects of the purifier. Also, the fact that the purifier
7 need be coupled to only one fiber optic transmission line or juxtaposed to the fiber optic
8 transmission line allows for easy replacement of components included in the purifier,
9 such as particle-arresting devices. Finally, the illuminator configuration extends the lamp
10 life significantly.

11 Thus, as can be seen from the advantages of this preferred embodiment according
12 to the present invention, the maintenance required for this preferred embodiment
13 according to the present invention is significantly reduce.

14 Another preferred embodiment utilizes counter-current irradiation of the gas
15 stream. In this embodiment, a protected counter-current system, the UV irradiation is
16 directed in a parallel fashion against the gas flow. The gas flow is directed away from the
17 UV-emitting device prior to contacting it. For example, in a blind duct configuration, as
18 shown in Figure 2 and generally referenced as 20, the gas is ducted away at 90 degrees
19 from the UV-emitting optical device 50 that is recessed in a blind duct 51 designed to
20 reduce gas circulation around the optical device. Alternately or additionally, the optical
21 device may be protected by a self-cleaning, UV transparent material 52. Such a
22 configuration effects an increasing dose zone and also reduces fouling of the UV-emitting
23 optical device. This system may be employed when gas turbidity is of such a magnitude

1 that particle arrestor fouling is a major problem and microbial sterilization without
2 particle degradation is considered a sufficient purification of the gas. Alternately, the
3 system can be configured such that the UV light eventually strikes a photocatalytic
4 surface, either for purposes of self-cleaning of the duct and/or other components or for
5 actual degradation of arrested particles. Such an embodiment is easily scalable. For
6 example, the size of the embodiment may extend from a small, portable application with
7 a single point of UV irradiation to a large, whole gas duct system application with
8 multiple points of UV irradiation.

9 In the preferred embodiment, at least one portal optic is positioned at the portal
10 opening of the gas purifier, between the portal opening and the gas stream purifier or
11 particle arrestor. The function of the at least one portal optic is to control the distribution
12 of UV light in the gas stream purifier in order to enhance the UV disinfecting and
13 degrading capacity of the system. The portal optics may be similar to those described for
14 the source optics, including but not limited to reflectors, shutters, lenses, splitters,
15 mirrors, rigid and flexible light guides, homogenizer or mixing rods, manifolds and other
16 couplers, filters, color wheels, and the like, can be utilized in combination to achieve the
17 desired control and output, as set forth in U.S. patent numbers 6,027,237; 5,917,986;
18 5,911,020; 5,892,867; 5,862,277; 5,857,041; 5,832,151; 5,790,725; 5,790,723; 5,751,870;
19 5,708,737; 5,706,376; 5,682,448; 5,661,828; 5,559,911; D417,920 and co-pending
20 applications 09/523,609; 09/587,678; which are commonly owned by the assignee of the
21 present invention, and which are incorporated herein by reference in their entirety.
22 Additionally, optical component such as gratings, dichroic filters, focalizers, gradient

1 lenses, and off-axis reflectors may be used. Finally, side-emitting fiber optic
2 transmission lines may be used to distribute the UV light over the filter.

3 All UV transmissive optical components for the portal optics are made of UV-
4 transmissive material and all UV-reflective optical components for the portal optics are
5 made of UV-reflective material. These optics may extend into the gas stream particle
6 arrestor. For example, fiber optic transmission lines may be incorporated into the gas
7 stream particle arrestor and used to route UV light to the various areas of the gas stream
8 particle arrestor. The fiber optic lines may include quartz fibers, side-emitting fibers,
9 glass fibers, acrylic fibers, liquid core fibers, hollow-core fibers, core sheath fibers,
10 dielectric coaxial fibers, or a combination of fibers. Additionally, the portion of these
11 fibers that extend into the gas stream can be coated with photocatalysts, such that they are
12 self-cleaning. The optics may also be incorporated into the structure of the gas purifier.
13 For example, the interior of the gas purifier before and/or after the particle arrestor may
14 be of a UV reflective material such that UV radiation striking these surfaces is reflected
15 back through the gas stream.

16 Such a system of UV disinfection can be easily integrated into the gas purifier
17 function cycle by activating the UV light source or allowing irradiation of the gas purifier
18 interior at a predetermined time in an gas stream purifier function cycle. Alternately, the
19 UV disinfection system may be manually activated when desired or may be programmed
20 to activate when gas flow reaches a threshold, for example in natural ventilation systems.

21 A method for sterilization of the gas stream would consist of providing a gas
22 purifier composed of at least one light source connected by at least one optical connection
23 positioned to provide a focused, controllable light output to the gas purifier, and a control

1 mechanism, thereby producing at least one UV dose zone for the effective sterilization of
2 microorganisms in a gas, activating the UV light source, passing the gas through the gas
3 purifier, thereby providing a sterilized gas stream.

4 Certain modifications and improvements will occur to those skilled in the art upon
5 a reading of the foregoing description. By way of example, various optical components
6 are used depending upon the particular UV light source or lamp selection for a given
7 system. Moreover, a wide range of applications are contemplated within the scope of the
8 present invention, including application of the UV gas purification system and method to
9 gas purifiers involved in air conditioning, heating, manufacturing, animal rearing, and the
10 like. By way of example, the disinfection of gas stream purifiers, includes, but is not
11 limited to, ventilation systems, discharge systems, manufacturing intake systems, and the
12 like. These gas stream purifiers may be for commercial or household use.

13 These multiple points of application may also be connected to a single light
14 source, such as an illuminator, by light guides. Such an arrangement would eliminate the
15 need for a lamp or light source at every point of application. Because it may not be
16 necessary to continuously irradiate each point of application, such an arrangement would
17 allow the same size lamp as would be require for a single application to service multiple
18 applications intermittently and/or on demand, thus utilizing the lamp more efficiently.
19 Additionally, placing the lamp exterior to the application reduces the risk of glass and/or
20 mercury contaminating the gas stream should the lamp or lamp housing break.

21 The present invention is further directed to and provides a gas purification system
22 applied to at least one exhaust and/or waste effluent stream including at least one
23 pollutant, which may include but is not limited to including NO_x, olefins, hydrocarbons,

1 sulfur-containing, mercury, hydrocarbons containing halogen constituents or components,
2 HC-containing nitrogen constituents, nitrates, SO_x, and the like, and combinations
3 thereof. Preferably, in one embodiment of the present invention, the gas purification
4 system as set forth hereinabove includes at least one exhaust purification apparatus
5 including a UV air disinfection system, further including a power supply that powers a
6 UV light source, the UV light source further including a UV lamp, source optical
7 components, and a housing; UV light generated by the UV lamp contained within the
8 housing is focused and controlled by the means of the source optical components 16 into
9 at least one UV transmission line that connects to the gas stream purifier at a portal,
10 which may alternatively be at least one portal if more than one light input is desired, thus
11 transmitting UV light to the gas. The gas stream purifier portal is equipped with optical
12 components, or portal optics, that further control the UV light at the gas stream purifier in
13 order to provide additional focus and/or control of the UV light for the disinfection of the
14 gas stream (not shown). The gas stream purifier is composed of at least one dose zone
15 and a housing. The at least one dose zone can include a dose delivery device. The dose
16 zone and the housing may be equipped with UV reflective optical components, or interior
17 optics, which would be interior to the exhaust or waste effluent stream channel or
18 housing, and may also be composed of a UV reflective interior surface and/or coating.
19 For longevity as well as UV reflectivity, the interior surfaces may be made of a UV
20 reflective material selected from the group consisting of UV reflective metals and alloys,
21 e.g., stainless steel, aluminum, and the like. Alternatively or additionally, other non-
22 metallic UV reflective materials may be used. Additionally, the contribution of the
23 reflectance of internal surfaces to the efficacy of the system can be capitalized upon by

1 incorporating UV reflective materials and reflection enhancing two- and three-
2 dimensional design into the gas purifier. Moreover, additional surfaces to enhance
3 reflectance may be added to the purifier zone. Additionally, the components, including,
4 but not limited to, particle arresting devices, fiber optic transmission lines, and reflectant
5 surfaces, comprising the gas stream purifier may be manufactured such that they include
6 a photocatalyst that degrades compounds contacting the surface(s) of the gas purifier
7 zone (GPZ). Thus, in one embodiment of the present invention, a particle arrestor is
8 included in the GPZ as the dose delivery device. More particularly, the particle arrestor
9 and other components form an integrated 2- and 3-dimensional design that incorporates
10 UV-reflectant materials, UV-reflectant design, photocatalysts, and additional
11 photocatalyst and reflectant surfaces that advantageously enhance the efficacy of the
12 system. Such a system is preferably further applied to a waste effluent stream such as
13 exhaust effluent in gaseous form potentially having at least some particulate matter, by
14 way of example applied to a smokestack or exhaust pipes from industrial settings, a fume
15 hood pipe, or machine or automobile exhaust pipes.

16 As set forth hereinabove, in a preferred embodiment, the exhaust channel or
17 housing surrounding the effluent stream further includes a reflective environment, using
18 materials and coatings set forth hereinabove, at least one filter for removing particulates
19 from the effluent stream and for further applying one of the at least one UV dose zones
20 thereto.

21 Preferably, the UV lamp may be selected from the group including or consisting
22 of an electrodeless lamp, a mercury halide lamp, a spectral calibration lamp, light
23 emitting diodes (LEDs), lasers, and light emitting polymers.

1 The exhaust channel or housing may have one of or a combination of a variety of
2 cross-sectional shapes, and have a reflective material embedded or coated on the surface
3 thereof, further including at least one catalyst, which may be applied in the form of a
4 coating, such as a nanoparticulate coating.

5 Other preferred embodiments or applications for the effluent stream UV
6 disinfection system of the present invention include applications in a smokestack or oil
7 refinery to eliminate olefins, such as carbon-carbon double bonds, long chain fatty acid,
8 TiO₂, breaking the CC bond to form methane, ethane gas, especially since the olefins
9 produced in a smokestack produce undesired, fugitive emissions. Also, in processes for
10 refining oil, it is common to start with long chain carbons, such as if trying to make
11 octane, then crack at C₈. However, sometimes the carbon bonds reform with another
12 carbon, so then CC double bond is created. e.g., butene, etc. Since the CC double bond is
13 more reactive than a single bond, and a CC bond is not reactive with the ozone, but CC
14 double bond is damaging to the ozone layer. Further elaboration of the process of
15 breaking the CC bond are set forth in US Patent No. 6,558,410, commonly owned by the
16 assignee of the present invention, with said US Patent being incorporated herein by
17 reference in its entirety, which then easily break the CC double bond in the waste effluent
18 applications of the present invention. The present invention preferably breaks them down
19 to methane gas, which can be burnt off or recaptured, recycled, and/or reused.

20 In alternative embodiments, treated gas recapture and recycle systems may be
21 combined with the systems and methods of the present inventions as additions or
22 supplements to the gas purification set forth hereinabove. All modifications and
23 improvements have been deleted herein for the sake of conciseness and readability but

- 1 are properly within the scope of the following claims.